

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTORNEY DOCKET NO.  
1046.1310APPLICATION NO.  
To be Assigned

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

FIRST NAMED INVENTOR  
Shinichiro MORIFILING DATE  
February 9, 2004GROUP ART UNIT  
To be Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
	AG	62-038377	02/19/87	JAPAN	←	→	Partial Trans.
	AH						
	AI						
	AJ						
	AK						
	AL						

## OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	AM	
	AN	
	AO	

EXAMINER

DATE CONSIDERED

12/20/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 1 of 1

APPLICATION NO.

10/773,463

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

1046.1310

FIRST NAMED INVENTOR

Shinichiro MORI

FILING DATE

February 9, 2004

GROUP ART UNIT

3662617

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
ED	AA	5,649,306	Jul. 15, 1997	Vannatta et al.	—	—	
ED	AB	5,991,643	Nov. 23, 1999	Chao-Cheng	—	—	
ED	AC	5,940,040	Aug. 17, 1999	Koyanagi et al.	—	—	
ED	AD	US 2002/0094789 A1	Jul. 18, 2002	Harano	—	—	
	AE						
	AF						

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
ED	AG	GB 2 327 572 A	01/27/99	UK	—	—	
ED	AH	EP 1 237 289 A2	09/04/02	EPO	—	—	
	AI						
	AJ						
	AK						
	AL						

### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION  
YES NO

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EXAMINER

DATE CONSIDERED

12/20/06

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**ATTACHMENT 1(g)**

<b>LIST OF ADDITIONAL SUBMITTED DOCUMENTS</b>	ATTORNEY DOCKET NO.	APPLICATION NO.
	1046.1310	10/773,463
	FIRST NAMED INVENTOR	
	Shinichiro MORI	
FILING DATE	GROUP ART UNIT	
February 9, 2004	To be Assigned	

The following document(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application. Pursuant to 37 CFR 1.98(a)(2)(iii), a copy of any identified copending application(s) is provided.

It is requested that the Examiner acknowledge his consideration of document(s) below-listed by initialling same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

**This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent issuing from the subject application in which this information is being filed.**

**U.S. PATENT DOCUMENTS**

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	AA						
	AB						

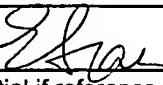
**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES      NO
	AC						
	AD						

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

TRANSLATION YES      NO
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	AE	Communication including a Partial European Search Report from the European Patent Office dated June 4, 2004 in connection with the corresponding European Patent Application.	X	
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EXAMINER		DATE CONSIDERED	12/20/06
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Sheet 1 of 1

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PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

APPLICATION NO.

1046.1310

10/773,463

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FIRST NAMED INVENTOR

Shinichiro MORI

FILING DATE

February 9, 2004

GROUP ART UNIT

3662-2617

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>SH</i>	AA	US 2003/0013470 A1	01/16/03	FORRESTER	→	—	
	AB						
	AC						
	AD						
	AE						
	AF						

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES    NO	ABSTRACT
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					

### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION YES    NO
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	AM			
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EXAMINER

DATE CONSIDERED

*12/20/06*

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	1046.1310	10/773,463
	FIRST NAMED INVENTOR	
	Shinichiro MORI	GROUP ART UNIT
FILING DATE		
February 9, 2004	3662 2617	

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**U.S. PATENT DOCUMENTS**

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	AA						
	AB						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES      NO
	AC						
	AD						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

TRANSLATION YES      NO
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	AE	Communication including Search Report from the European Patent Office dated August 12, 2004 in the corresponding European Patent Application.	XXX	
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EXAMINER		DATE CONSIDERED
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Sheet 1 of 1

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1046.1310	APPLICATION NO. 10/773,463
INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>		FIRST NAMED INVENTOR Shinichiro MORI	
		FILING DATE February 9, 2004	GROUP ART UNIT 3662 2617

#### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>Zh</i>	AA	5,649,306	7/1997	Vannatta et al.	<del>—</del>	<del>—</del>	
<i>Zh</i>	AB	5,991,643	11/1999	Chao-Cheng	<del>—</del>	<del>—</del>	
	AC						
	AD						
	AE						
	AF						

#### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES    NO	ABSTRACT
<i>Zh</i>	AG	1123476 A	5/1996	China		
	AH					
	AI					
	AJ					
	AK					
	AL					

#### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION YES    NO
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	AM			
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EXAMINER <i>Zh</i>	DATE CONSIDERED <i>12/20/06</i>
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**ATTACHMENT 1(e)**

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1046.1310	10/773,463
FIRST NAMED INVENTOR	
Shinichiro MORI	
FILING DATE	GROUP ART UNIT
February 9, 2004	3662 2617

**EXPLANATIONS OF RELEVANCY  
OF REFERENCES**

\*Attorney for applicants is advised that U.S. Patent No. 5,649,306 is the English counterpart in the family of CN 1123476 A.


**ATTACHMENT 1(g)**

<b>LIST OF ADDITIONAL SUBMITTED DOCUMENTS</b>	ATTORNEY DOCKET NO.	APPLICATION NO.
	1046.1310	10/773,463
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	Shinichiro MORI	GROUP ART UNIT
FILING DATE	February 9, 2004	
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**U.S. PATENT DOCUMENTS**

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	AB						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
	AC						
	AD						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

TRANSLATION YES NO
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	AE	Office Action issued from SIPO dated December 10, 2004, with regard to the corresponding Chinese Patent Application No. 200410004869.X.	With English Translation
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EXAMINER 	DATE CONSIDERED <i>12/20/06</i>
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